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Application Number 10/002,838 TRANSMITTAL Filing Date November 14, 2001 **FORM First Named Inventor** Kauffman M (to be used for all correspondence after initial filing) Group Art Unit 2615 019396-00 Feedurology Center 260 **Examiner Name** Total Number of Pages in This Submission 5 Attorney Docket Number ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Return Postcard Express Abandonment Request Request for Refund Cited References: (14-US Patents; 7-Foreign; 8-Other Documents) Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Thomas D. Franklin

April 2, 2002

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Date April 2, 2002

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TOWNSEND and TOWNSEND and CREW LLP

Grady Bennett

Attorney Docket No.: 019396-002000US
Client Reference No.: D2716



Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Marc W. Kauffman, et al.

Application No.: 10/002,838

Filed: November 14, 2001

For: STREAMED CONTENT DELIVERY

Examiner:

Art Unit:

2615

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Marc W. Kauffman, et al. Application No.: 10/002,838

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

	Complete if Known
Application Number	10/002,838
Filing Date	November 14, 2001
First Named Inventor	Kauffman, Marc W
Group Art Unit	2615 REULIVI
Examiner Name	100 0 0 20

019396-002000US

Technology Center 2600

				U.S. PATENT DOCUM	MENTS	1001110109
Examiner Initials *	Cite No.1	I Number	cument Code ² own)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	AP					
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	AR					
	AS					
	AT					

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Examiner	Cite	For	eign Patent Docu	ment	Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Office ³	Number :	(ind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T⁵
	AU	PCT	WO 98/40831	A1	Inter Vu, Inc.	09-17-1998		
	AV	PCT	WO 98/53411	A1	Inter Vu, Inc.	11-26-1998		
	AW	PCT	WO 00/77683	A2	Entera, Inc.	12-21-2000		
	AX	PCT	WO 00/77985	A1	Entera, Inc.	12-21-2000		
	AY	PCT	WO 00/77999	A2	Entera, Inc.	12-21-2000		
	AZ	PCT	WO 00/78000	A2	Entera, Inc.	12-21-2000		
	BA	PCT	WO 00/79730	A2	Digital Island, Inc.	12-28-2000		

Examiner Signature		Date Considered	

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Sheet 2 of

	Complete if Known	DECE
Application Number	10/002,838	HEUL
Filing Date	November 14, 2001	100 0
First Named Inventor	Kauffman, Marc W.	APR 0
Group Art Unit	2615	Technology
Examiner Name		1 Comoogy
Attorney Docket Number	019396-002000US	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BB	TeraCast Server Administrator Guide v1.0, Entera, Inc., 88 pages.	
	вс	TeraCast Server, Shaping the Future of Content Delivery, Entera, Inc., (April 2000), 2 pages.	
	BD	Detail-Rich, Multimedia Streaming Solution, Mirror Image Internet, Inc., www.morror-image.com, (2000) 2 pages.	
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	BF	John Dilley et al., Improving Proxy Cache Performance: Analysis of Three Replacement Policies, IEEE Internet Computing, (1999) Vol. 3, N. 6, pgs. 44-50.	
-	BG	Geoff Huston, Internet Performance Survival Guide QoS Strategies for Multiservice Networks, Wiley Computer Publishing, John Wiley & Sons, Inc., New York, NY, (2000) pgs. 258-259, 276-277, 449-452.	
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	ВІ	H. Schulzrinne, et al., Real Time Streaming Protocol (RTSP), Network Working Group, Request for Comments: 2326, (April 1998), pp. 1-92.	
	вЈ		
	вк		
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Examiner	Date
Signature	Considered

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